COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER FILING RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

10/091,193 03/05/2002 H. H. Chen YOR91999-0420-US2

Anne Vachon Dougherty 3173 Cedar Road Yorktown Heights, NY 10598 CONFIRMATION NO. 6354
FORMALITIES LETTER
\*CC00000007915258\*

Date Mailed: 04/19/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

A copy of this notice MUST be returned with the reply.

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COPY OF PAPERS

700 200 1000

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of : June 10, 2002

H.H. Chen, et al : Group Art No.: 2811

Serial No. 10/091,193 : Examiner: O. Nadav

Filed: March 5, 2002 : for IBM Corporation Anne Vachon Dougherty

Title: SEMICONDUCTOR HIGH

DIELECTRIC CONSTANT

DECOUPLING CAPACITOR STRUCTURES AND PROCESS

FOR FABRICATION

3173 Cedar Road

Yorktown Heights, NY 10598

# DEPOSIT AUTHORIZATION

Authorization is hereby given to charge the following fees to Deposit Account No. 50-0510:

Fee for filing Missing Parts of Application (37 CFR 1.16(e)) in the amount of \$130.00.

A duplicate copy of this authorization is enclosed.

Anne Vachon Dougherty Name of authorized party

Signature of authorized

DIPE WAS

MADEN



In Re Application of

June 10, 2002

H.H. Chen, et al

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3173 Cedar Road Yorktown Heights, NY 10598

FOR FABRICATION

# CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

Notice to File Missing Parts of Application

Fee-Deposit Authorization

Declaration

Substitute Drawings

Acknowledgment Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner of Patents Washington, DC 20231

on June 10, 2002.

Anne Vachon Dougherty

Signature of person mailing

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